Release 4.4.0 Appendix 5A Document Code Changes

Release 4.4.0 includes changes to the completion requirements when using document status codes XA, XB, XF, XU or XW in conjunction with certain document codes. This is based on the principle that there is little point requiring completion of a document ID when the document status code indicates that some form of waiver or exemption is being claimed. It has not been possible to make this change for all instances when these status codes are used but we will be looking to extend the principle to other document codes in future releases.

Where we are removing the requirement to complete the document ID field, we will be looking for declarants to complete the document reason field instead. CDS will not initially be enforcing completion of the document reason in most cases. Software providers can therefore opt to continue mandating the document ID instead for now if they prefer but this will be at variance to the requirements set out in Appendix 5A. Document reason will continue to be mandated in all cases when status code XW or XX is declared.

Document status code XX has been omitted from these changes. We will be working on reducing the use of status code XX with the aim of removing it completely. Most circumstances under which status code XX would be used can now use a waiver document code instead.

Document codes C601, C019 and C516 will no longer be permitted to use document status code XW. This was permitted as part of the workaround for Authorisation by Customs Declaration (ABD) but is no longer required with these document codes. The workaround will now only be applicable to document code N990 which is required by tariff measures even when using ABD. Pre-lodged declarations using ABD for C601, C019 or C516 which straddle release 4.4.0, will need to be amended before the declaration is arrived. (Separate guidance has been issued regarding the ABD changes)

Document status code AC is being added to the list of permitted codes to be used with document code 9011 but should only be used when problems with electronic licence functionality prevent submission of a declaration with an electronic status code.

Document code 9005 has been amended to become only a flag to direct completion of document code 9011. Document code 9005 should not be declared but because it still appears within the tariff measures for some commodity codes, it is necessary to retain it in Appendix 5A for now. DBT have indicated that they will be removing 9005 from the tariff measures at some point but don't have a target date at present.

The accompanying spreadsheets provide early sight of the changes which will be published in alignment with release 4.4.0. **These changes will not be applicable until the release has been delivered and declarations could be rejected if they do not comply with current requirements in the meantime.** New document codes may be added in the interim, so these should not be considered as the final list of document codes for the release.